

Invariance of the normalized Minkowski content with respect to the ambient space

Maja Resman, University of Zagreb, Croatia. E-mail:
maja.resman@fer.hr.

2013

Abstract

It is easy to show that the lower and the upper box dimensions of a bounded set in Euclidean space are invariant with respect to the ambient space. In this article we show that the Minkowski content of a Minkowski measurable set is also invariant with respect to the ambient space when normalized by an appropriate constant. In other words, the value of the normalized Minkowski content of a bounded, Minkowski measurable set is intrinsic to the set.

Keywords: box dimension, Minkowski content, normalized Minkowski content, Minkowski measurability

MSC 2010: 37C45, 28A75

1 Introduction

In the last century, there has been a growing interest for computing fractal dimensions of various sets. The notion of fractal dimension goes back to H. Minkowski (Minkowski dimension), H. Hausdorff (Hausdorff dimension) and G. Bouligand (Bouligand dimension). In dynamical systems, fractal dimensions of invariant sets were used to measure the complexity of systems. For a short overview of applications of fractal dimensions in dynamics see e.g. [1].

We deal here with box dimensions and Minkowski contents of sets. They both describe the density of accumulation of the set in the ambient space. That is, the bigger these values are, the more space the set fills. As examples, see spirals in Figure 1 or discrete sequences in Figure 2. In Figure 1, the first spiral, in polar coordinates given by $r = \varphi^{-\frac{1}{2}}$, has box dimension $d = \frac{4}{3}$. The second spiral, $r = \varphi^{-\frac{1}{4}}$, has bigger box dimension $d = \frac{8}{5}$. The third spiral, $r = 10 \cdot \varphi^{-\frac{1}{2}}$, has the same box dimension $d = \frac{4}{3}$, but bigger Minkowski content. For computing the box dimension and the Minkowski content of spiral trajectories, see e.g. [2]. Note the difference in accumulation of spirals. In Figure 2, the first sequence $x_n = n^{-\frac{1}{2}}$, $n \in \mathbb{N}$, has box dimension $d = \frac{2}{3}$ and Minkowski content $\mathcal{M} = 3 \cdot 2^{-\frac{1}{3}}$, while the *scaled* sequence $y_n = 5 \cdot n^{-\frac{1}{2}}$ has the same box dimension, but bigger Minkowski content $\mathcal{M} = 5^{\frac{2}{3}} \cdot 3 \cdot 2^{-\frac{1}{3}}$. For computing the box dimension and the Minkowski content of sequences of the type $\{\frac{C}{n^a} \mid n \in \mathbb{N}\}$, see [8].

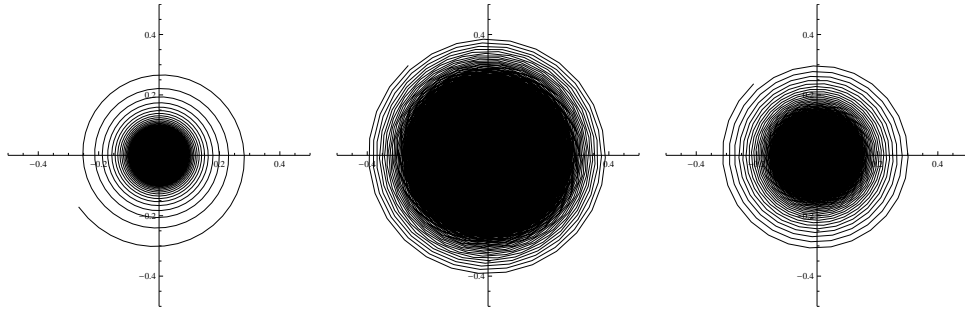


Figure 1: The difference in *accumulation* of spirals $r = \varphi^{-\frac{1}{2}}$, $r = \varphi^{-\frac{1}{4}}$, $r = 10 \cdot \varphi^{-\frac{1}{2}}$.

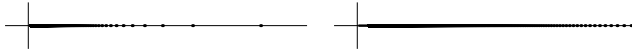


Figure 2: The difference in *accumulation* of sequences $x_n = n^{-\frac{1}{2}}$ and $y_n = 5 \cdot n^{-\frac{1}{2}}$, $n \in \mathbb{N}$.

Among other applications, it has been noted that the box dimension and the Minkowski content of spiral trajectories or of trajectories of Poincaré maps around limit periodic sets show their cyclicity in perturbations, see e.g. [3] and [4]. Also, box dimension and Minkowski content of a set are closely

related to the domain of analyticity of the associated distance zeta function, see e.g. [5].

A notion closely related to Minkowski content is Minkowski measurability. The notion was introduced by Stachó who was interested in Minkowski measurability of bounded sets in \mathbb{R}^N , see e.g. [6]. We cite some articles dealing with Minkowski measurability, for example [7], [8] and [9]. In their study of the Weyl-Berry conjecture, Lapidus and Pomerance in [8] characterized compact sets on the real line which are Minkowski measurable. They provided a method for constructing Minkowski measurable sets on the real line of any box dimension $d \in (0, 1)$. They further showed that the Cantor set is not Minkowski measurable on the real line.

Bilipschitz transformations preserve the box dimension of a set, see e.g. [10]. On the other hand, not a lot about preserving Minkowski measurability is known. Bilipschitz mappings in general do not preserve Minkowski measurability of sets, see [9]. Sufficiently general conditions imposed on mappings which ensure that Minkowski measurability is preserved have not yet been proposed.

In this article, we pose the following questions:

- (i) *Is Minkowski measurability of a set in Euclidean space preserved when the set is embedded in a higher-dimensional Euclidean space?*
- (ii) *Is Minkowski content of a set invariant with respect to the ambient Euclidean space in which we consider the set?*

Our results are stated in Theorem 5 and Theorem 4 in Sec. 3.

Let us introduce the main notions. Let $U \subset \mathbb{R}^N$ be a bounded set. For $\varepsilon > 0$, by U_ε^N we denote its ε -neighborhood in \mathbb{R}^N :

$$U_\varepsilon^N = \{x \in \mathbb{R}^N : d_N(x, U) \leq \varepsilon\},$$

where d_N denotes the Euclidean metric in \mathbb{R}^N .

For the following definitions, see e.g. [11]. Let $\text{vol}_N(U_\varepsilon^N)$ denote the Lebesgue measure of U_ε^N in \mathbb{R}^N .

The *lower s -dimensional Minkowski content* of a bounded set $U \subset \mathbb{R}^N$, $s \in [0, N]$, is defined as the limit

$$\mathcal{M}_*^s(U, \mathbb{R}^N) = \liminf_{\varepsilon \rightarrow 0} \frac{\text{vol}_N(U_\varepsilon^N)}{\varepsilon^{N-s}} \in [0, \infty]. \quad (1)$$

Similarly, we define *the upper s -dimensional Minkowski content* $\mathcal{M}^{*s}(U, \mathbb{R}^N)$, using \limsup instead of \liminf . If the upper and the lower Minkowski contents agree, then the *s -dimensional Minkowski content of U* , denoted by $\mathcal{M}^s(U, \mathbb{R}^N)$, is defined to be this common value.

Furthermore, *the lower and upper box dimension* of the set $U \subset \mathbb{R}^N$ are defined respectively as

$$\begin{aligned}\underline{\dim}_B(U, \mathbb{R}^N) &= \sup\{s \geq 0 : \mathcal{M}_*^s(U, \mathbb{R}^N) = \infty\} \\ &= \inf\{s \geq 0 : \mathcal{M}_*^s(U, \mathbb{R}^N) = 0\}, \\ \overline{\dim}_B(U, \mathbb{R}^N) &= \sup\{s \geq 0 : \mathcal{M}^{*s}(U, \mathbb{R}^N) = \infty\} \\ &= \inf\{s \geq 0 : \mathcal{M}^{*s}(U, \mathbb{R}^N) = 0\}.\end{aligned}$$

They can be described as the moments of jump of the Minkowski contents \mathcal{M}_*^s and \mathcal{M}^{*s} respectively from ∞ to 0, as s grows in $[0, N]$. In the literature, the upper box dimension is sometimes called the *limit capacity*, see [12].

If $\underline{\dim}_B(U, \mathbb{R}^N) = \overline{\dim}_B(U, \mathbb{R}^N)$, we define *the box dimension of the set $U \subset \mathbb{R}^N$* as the common value:

$$\dim_B(U, \mathbb{R}^N) = \underline{\dim}_B(U, \mathbb{R}^N) = \overline{\dim}_B(U, \mathbb{R}^N).$$

Let us suppose now that the set $U \subset \mathbb{R}^N$ has box dimension $d = \dim_B(U, \mathbb{R}^N)$. If the upper and the lower d -dimensional Minkowski contents of $U \subset \mathbb{R}^N$ are both in $(0, \infty)$, we say that the set U is *Minkowski nondegenerate* in \mathbb{R}^N . If moreover both Minkowski contents agree, that is, if $\mathcal{M}^{*d}(U, \mathbb{R}^N) = \mathcal{M}_*^d(U, \mathbb{R}^N) \in (0, \infty)$, we say that the set U is *Minkowski measurable* in \mathbb{R}^N . For simplicity, in this case, the d -dimensional Minkowski content is called the Minkowski content and denoted simply by $\mathcal{M}(U, \mathbb{R}^N)$.

2 Motivation

We state the result about the invariance of box dimension with respect to the ambient space in which we consider the set. That is, the box dimension of a set is an intrinsic property of the set. We were not able to find this result explicitly stated in the literature.

Proposition 1. *Let $U \subset \mathbb{R}^N \hookrightarrow \mathbb{R}^{N+1}$ be a bounded set. Then it holds that*

$$\begin{aligned}\underline{\dim}_B(U, \mathbb{R}^N) &= \underline{\dim}_B(U, \mathbb{R}^{N+1}), \\ \overline{\dim}_B(U, \mathbb{R}^N) &= \overline{\dim}_B(U, \mathbb{R}^{N+1}),\end{aligned}$$

where $\dim_B(U, \mathbb{R}^N)$ denotes the box dimension of U when regarded as a subset of \mathbb{R}^N .

Proof. Let $f : \mathbb{R}^N \rightarrow \mathbb{R}^{N+1}$, $f(\mathbf{x}) = (\mathbf{x}, 0)$. Then f is obviously a bilipschitz mapping from $U \subset \mathbb{R}^N$ onto $U = U \times \{0\} \subset \mathbb{R}^{N+1}$. By [10], Sec. 3.2, the upper and the lower box dimensions are preserved under bilipschitz mappings. \square

By Proposition 1, we can denote the lower and the upper box dimensions of the set $U \subset \mathbb{R}^N$ by $\underline{\dim}_B(U)$ and $\overline{\dim}_B(U)$ respectively, without mentioning the ambient space where we consider the set.

Our goal is to obtain a similar result for the Minkowski content of a set U . We cannot proceed as in the above proof, since the Minkowski content is not invariant under the bilipschitz mappings. Moreover, the property of Minkowski measurability is not preserved even by C^1 bilipschitz mappings, see [9].

A bounded set $U \subset \mathbb{R}^N$ can be embedded in \mathbb{R}^{N+1} as the Cartesian product $U \times \{0\}$. For the Minkowski content of the Cartesian product, the following estimates hold, see [13, Theorem 3.3.6]:

Proposition 2. *If $A \subset \mathbb{R}^M$ and $B \subset \mathbb{R}^N$, then the following result for the Minkowski contents of the Cartesian product $A \times B \subset \mathbb{R}^M \times \mathbb{R}^N$ holds:*

$$\begin{aligned}\sqrt{2}^{-(M+N-s-r)/2} \cdot \mathcal{M}_*^s(A, \mathbb{R}^M) \cdot \mathcal{M}_*^r(B, \mathbb{R}^N) &\leq \\ &\leq \mathcal{M}_*^{r+s}(A \times B, \mathbb{R}^{N+M}) \leq \mathcal{M}^{*(r+s)}(A \times B, \mathbb{R}^{N+M}) \leq \\ &\leq \mathcal{M}^{*s}(A, \mathbb{R}^M) \cdot \mathcal{M}^{*r}(B, \mathbb{R}^N),\end{aligned}$$

where $0 \leq s \leq M$, $0 \leq r \leq N$.

Applying the above proposition to the set $U \subset \mathbb{R}^N \hookrightarrow \mathbb{R}^N$, we get the inequalities involving the ambient spaces:

$$2^{-\frac{N-1-s}{2}} \mathcal{M}_*^s(U, \mathbb{R}^N) \leq \mathcal{M}_*^s(U, \mathbb{R}^{N+1}) \leq \mathcal{M}^{*s}(U, \mathbb{R}^{N+1}) \leq 2 \mathcal{M}^{*s}(U, \mathbb{R}^N), \quad (2)$$

where $0 \leq s \leq N$.

From inequality (2), nothing can be said about preserving the s -dimensional Minkowski content of a bounded set in \mathbb{R}^N , when embedding it into \mathbb{R}^{N+1} . In Theorem 5 in Sec. 3, we improve the constants from (2) by making them equal. With improved constants, in Theorem 4, we show that the Minkowski content of a Minkowski measurable set is invariant with respect to the ambient space, when multiplied by an appropriate constant.

Finally, the next paragraph gives us an idea about the constant by which we should normalize the Minkowski content to ensure invariance. In e.g. [14], the Minkowski contents are defined in the same way as in Sec. 1, that is, without any normalizing constant. Let us recall an alternative definition of Minkowski contents from e.g. [15] and [13]. The s -dimensional Minkowski contents defined in (1) are additionally divided by the constant

$$\gamma_{N-s} = \frac{\pi^{\frac{N-s}{2}}}{\Gamma(\frac{N-s}{2} + 1)}. \quad (3)$$

We will call them *the normalized lower and upper s -dimensional Minkowski contents*,

$$\overline{\mathcal{M}}_*^s(U, \mathbb{R}^N) = \frac{\mathcal{M}_*^s(U, \mathbb{R}^N)}{\gamma_{N-s}}, \quad \overline{\mathcal{M}}^{*s}(U, \mathbb{R}^N) = \frac{\mathcal{M}^{*s}(U, \mathbb{R}^N)}{\gamma_{N-s}}. \quad (4)$$

Here, Γ is the usual gamma function. For any integer $s \in [0, N)$, γ_{N-s} is equal to the volume of the unit ball in \mathbb{R}^{N-s} .

As before, if $\overline{\mathcal{M}}_*^s(U, \mathbb{R}^N) = \overline{\mathcal{M}}^{*s}(U, \mathbb{R}^N)$, the common value will be called *the normalized s -dimensional Minkowski content* and denoted by $\overline{\mathcal{M}}^s(U, \mathbb{R}^N)$. Furthermore, if $s = \dim_B(U)$, we omit writing the superscript d and write only $\overline{\mathcal{M}}_*(U, \mathbb{R}^N)$, $\overline{\mathcal{M}}^*(U, \mathbb{R}^N)$ and $\overline{\mathcal{M}}(U, \mathbb{R}^N)$.

This normalization ensures that, for an integer k , $1 \leq k \leq N$, the normalized k -dimensional Minkowski content of a k -rectifiable set in \mathbb{R}^N coincides with its k -dimensional Hausdorff measure, which is an intrinsic value of a set, equal to the k -dimensional Lebesgue measure of the set, within the constant multiple depending only on k . It is therefore independent of the ambient space \mathbb{R}^N , for $N \geq k$. We cite [13, Theorem 3.3.4]:

Proposition 3. *Suppose $1 \leq k \leq N$ is an integer. Let $U \subset \mathbb{R}^N$ be closed and let $U \subset f(\mathbb{R}^k)$, for some Lipschitz function $f : \mathbb{R}^k \rightarrow \mathbb{R}^N$. Then the*

k -dimensional Minkowski content of U exists and its normalization is equal to the k -dimensional Hausdorff measure $\mathcal{H}^k(U)$ of the set, that is,

$$\overline{\mathcal{M}}^k(U, \mathbb{R}^N) = \mathcal{H}^k(U).$$

It remains however the question of invariance of the normalized Minkowski content of an arbitrary Minkowski measurable set of box dimension d with respect to the ambient space, also when $d \in [0, N)$ is noninteger. We will show in Sec. 3 that the answer to this question is positive, even for noninteger d .

3 Results

In this section, we state our main results. Let γ_{N-s} be as in (3).

The main result is the following theorem. It states that the Minkowski measurability is preserved when embedding the set in higher-dimensional space. Its normalized Minkowski content, as defined in e.g. [15] and [13], becomes independent of the ambient space.

Theorem 4. *Let $U \subset \mathbb{R}^N$ be a Minkowski measurable set in \mathbb{R}^N , with the box dimension $d \in [0, N]$. Then U is also Minkowski measurable regarded as a subset of \mathbb{R}^{N+1} . Moreover, for Minkowski contents in \mathbb{R}^N and \mathbb{R}^{N+1} , it holds that*

$$\frac{\mathcal{M}(U, \mathbb{R}^{N+1})}{\gamma_{N+1-d}} = \frac{\mathcal{M}(U, \mathbb{R}^N)}{\gamma_{N-d}}. \quad (5)$$

In other words, the normalized Minkowski content of a Minkowski measurable set from \mathbb{R}^N remains unchanged by the embedding $\mathbb{R}^N \hookrightarrow \mathbb{R}^{N+1}$,

$$\overline{\mathcal{M}}(U, \mathbb{R}^{N+1}) = \overline{\mathcal{M}}(U, \mathbb{R}^N). \quad (6)$$

Proof. The proof follows directly from Theorem 5 below. Since the set U is Minkowski measurable, all the inequalities in (7) become equalities. \square

We illustrate the statement of the previous Theorem in Example 1.

Example 1. We consider the discrete set $U = \{n^{-\frac{1}{2}} \mid n \in \mathbb{N}\}$ on the real line, but embedded in \mathbb{R} , \mathbb{R}^2 and \mathbb{R}^3 respectively. The ε -neighborhoods used in definition of box dimension and Minkowski content depend on the ambient space, as shown in Figure 3. From [8], the set $U \subset \mathbb{R}$ has box dimension

$d = \frac{2}{3}$ and Minkowski content $\mathcal{M}(U, \mathbb{R}) = 3 \cdot 2^{-\frac{1}{3}} \approx 2.38$. By Theorem 4, when considered in \mathbb{R}^2 and \mathbb{R}^3 , the same set U has Minkowski contents

$$\begin{aligned}\mathcal{M}(U, \mathbb{R}^2) &= 3 \cdot 2^{-\frac{1}{3}} \cdot \sqrt{\pi} \cdot \frac{\Gamma(7/6)}{\Gamma(5/3)} \approx 4.34, \\ \mathcal{M}(U, \mathbb{R}^3) &= 3 \cdot 2^{-\frac{1}{3}} \cdot \pi \cdot \frac{\Gamma(7/6)}{\Gamma(13/6)} \approx 6.41.\end{aligned}$$

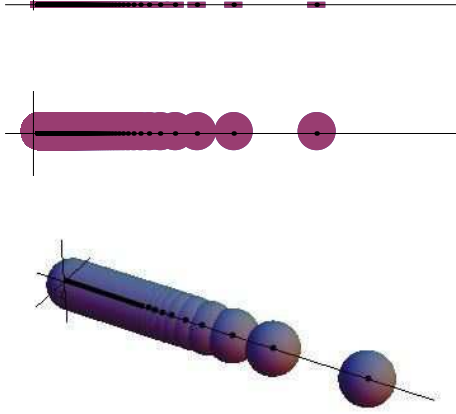


Figure 3: The ε -neighborhoods of the set $U \hookrightarrow \mathbb{R}, \mathbb{R}^2, \mathbb{R}^3$ respectively.

The following Theorem gives the inequalities concerning the upper and the lower Minkowski contents in ambient spaces, in general case, also when U is not Minkowski measurable.

Theorem 5 (Minkowski contents and embedding). *Let $U \subset \mathbb{R}^N$ be a bounded set and let $0 \leq s \leq N$. Then the following inequalities between s -dimensional Minkowski contents in ambient spaces \mathbb{R}^N and \mathbb{R}^{N+1} hold:*

$$\begin{aligned}\frac{\mathcal{M}_*^s(U, \mathbb{R}^N)}{\gamma_{N-s}} &\leq \frac{\mathcal{M}_*^s(U, \mathbb{R}^{N+1})}{\gamma_{N+1-s}} \leq \\ &\leq \frac{\mathcal{M}^{*s}(U, \mathbb{R}^{N+1})}{\gamma_{N+1-s}} \leq \frac{\mathcal{M}^{*s}(U, \mathbb{R}^N)}{\gamma_{N-s}}.\end{aligned}\tag{7}$$

The above inequalities hold also in the case of Minkowski contents equal to 0 or ∞ .

Remark 1 (Optimality of constants in (7)). Let us reformulate (7). For any $s \in [0, N]$ and for any bounded set $U \subset \mathbb{R}^N$, it holds that

$$\begin{aligned}\mathcal{M}_*^s(U, \mathbb{R}^{N+1}) &\geq \mathcal{M}_*^s(U, \mathbb{R}^N) \frac{\gamma_{N+1-s}}{\gamma_{N-s}}, \\ \mathcal{M}^{*s}(U, \mathbb{R}^{N+1}) &\leq \mathcal{M}^{*s}(U, \mathbb{R}^N) \frac{\gamma_{N+1-s}}{\gamma_{N-s}}.\end{aligned}\tag{8}$$

The constant $\frac{\gamma_{N+1-s}}{\gamma_{N-s}}$ in (8) is optimal for a given $s \in [0, N]$. Let $s \in [0, N]$. By Theorem 3 in [9], there exists a Minkowski measurable set in \mathbb{R}^N with box dimension equal to s . By Theorem 4 above, for Minkowski measurable sets, inequalities in (8) become equalities. This proves that the constant $\frac{\gamma_{N+1-s}}{\gamma_{N-s}}$ in (8) is the best possible.

Let us comment here on an alternative proof of the fact used above: for every $s \in [0, N]$, there exists a Minkowski measurable set $U \subset \mathbb{R}^N$, such that $\dim_B U = s$. First, on the real line, one can construct a Minkowski measurable set of any box dimension $d \in [0, 1]$. The set can be constructed using fractal strings, as in [8], or as a discrete orbit generated by function $g(x) = x - x^\alpha$, $\alpha \in \mathbb{R}$, $\alpha > 1$, as in [16]. It is easy to prove that, if $U \subset \mathbb{R}^N$ is Minkowski measurable in \mathbb{R}^N , with box dimension d , then $U \times [0, 1]$ is Minkowski measurable in \mathbb{R}^{N+1} , with box dimension $d + 1$. Moreover, the values of their Minkowski contents (in \mathbb{R}^N and \mathbb{R}^{N+1} respectively) are the same. The proof follows directly from definition of Minkowski content and the obvious geometric fact:

$$\text{vol}_{N+1}((U \times [0, 1])_\varepsilon^{N+1}) = \text{vol}_N(U_\varepsilon^N) \cdot 1 + \text{vol}_{N+1}(U_\varepsilon^{N+1}).$$

In the proof of Theorem 5, we use the following two auxiliary propositions.

Proposition 6. *Let $U \subset \mathbb{R}^N$ be a bounded set. Let U_ε^N and U_ε^{N+1} denote the ε -neighborhoods of U in \mathbb{R}^N and \mathbb{R}^{N+1} respectively. For $\varepsilon > 0$, it holds that*

$$\text{vol}_{N+1}(U_\varepsilon^{N+1}) = 2 \int_0^\varepsilon \text{vol}_N(U_{\sqrt{\varepsilon^2 - y^2}}^N) dy,$$

where vol_N denotes the Lebesgue measure in \mathbb{R}^N .

Proof. Let $U \subset \mathbb{R}^N \hookrightarrow \mathbb{R}^{N+1}$. We fix the coordinate system in \mathbb{R}^{N+1} such that U lies in the N -dimensional plane $\{\mathbf{x} = 0\}$. Let d_N and d_{N+1} denote

the Euclidean distances in \mathbb{R}^N and \mathbb{R}^{N+1} respectively.
We have

$$\text{vol}_{N+1}(U_\varepsilon^{N+1}) = \int \int \dots \int_{\{(\mathbf{x}, y) \in \mathbb{R}^N \times \mathbb{R} : d_{N+1}((\mathbf{x}, y), U) \leq \varepsilon\}} 1 \cdot d\mathbf{x} \, dy. \quad (9)$$

Obviously, $d_{N+1}((\mathbf{x}, y), U) = \sqrt{d_N(\mathbf{x}, U)^2 + y^2}$. For a fixed $y \in [-\varepsilon, \varepsilon]$, the following sets are equal:

$$\{\mathbf{x} \in \mathbb{R}^N : d_{N+1}((\mathbf{x}, y), U) \leq \varepsilon\} = \{\mathbf{x} \in \mathbb{R}^N : d_N(\mathbf{x}, U) \leq \sqrt{\varepsilon^2 - y^2}\}. \quad (10)$$

Using Fubini's theorem, from (9) and (10) we get

$$\begin{aligned} \text{vol}_{N+1}(U_\varepsilon^{N+1}) &= \int_{-\varepsilon}^{\varepsilon} dy \int_{\{\mathbf{x} \in \mathbb{R}^N : d_N(\mathbf{x}, U) \leq \sqrt{\varepsilon^2 - y^2}\}} 1 \cdot d\mathbf{x} \\ &= \int_{-\varepsilon}^{\varepsilon} \text{vol}_N(U_{\sqrt{\varepsilon^2 - y^2}}^N) \, dy \\ &= 2 \int_0^{\varepsilon} \text{vol}_N(U_{\sqrt{\varepsilon^2 - y^2}}^N) \, dy. \end{aligned}$$

□

Proposition 7. *Let $0 \leq s \leq N$ and $\varepsilon > 0$. It holds that*

$$2 \int_0^{\varepsilon} (\sqrt{\varepsilon^2 - y^2})^{N-s} \, dy = \frac{\gamma_{N+1-s}}{\gamma_{N-s}} \cdot \varepsilon^{N+1-s},$$

where γ_{N-s} is defined in (3).

Proof. The above integral is computed substituting $y = \varepsilon \sin t$. We get

$$\begin{aligned} 2 \int_0^{\varepsilon} (\sqrt{\varepsilon^2 - y^2})^{N-s} \, dy &= \varepsilon^{N+1-s} \cdot 2 \int_0^{\frac{\pi}{2}} (\cos t)^{N+1-s} \, dt \\ &= \varepsilon^{N+1-s} \cdot \mathcal{B}\left(\frac{1}{2}, \frac{N-s}{2} + 1\right) \\ &= \frac{\sqrt{\pi} \, \Gamma\left(\frac{N-s}{2} + 1\right)}{\Gamma\left(\frac{N-s}{2} + \frac{3}{2}\right)} \cdot \varepsilon^{N+1-s} \\ &= \frac{\gamma_{N+1-s}}{\gamma_{N-s}} \cdot \varepsilon^{N+1-s}. \end{aligned}$$

Here, $\mathcal{B}(x, y)$ denotes the Beta function and the equalities follow from its relation with the Gamma function, which can be found in any book on special functions, see e.g. [17]. □

Proof of Theorem 5.

Let us prove the first inequality in (7). That is,

$$\mathcal{M}_*^s(U, \mathbb{R}^N) \cdot \frac{\gamma_{N+1-s}}{\gamma_{N-s}} \leq \mathcal{M}_*^s(U, \mathbb{R}^{N+1}).$$

Suppose first that $\mathcal{M}_*^s(U, \mathbb{R}^N) \in (0, \infty)$. From

$$\mathcal{M}_*^s(U, \mathbb{R}^N) = \liminf_{\varepsilon \rightarrow 0} \frac{\text{vol}_N(U_\varepsilon^N)}{\varepsilon^{N-s}},$$

by the definition of the limit inferior, we get that for each $\delta > 0$, there exists $\varepsilon_\delta > 0$, such that for all $\varepsilon \leq \varepsilon_\delta$,

$$\text{vol}_N(U_\varepsilon^N) \geq (\mathcal{M}_*^s(U, \mathbb{R}^N) - \delta) \cdot \varepsilon^{N-s}. \quad (11)$$

By Proposition 6, substituting (11) in the integral, we get that for each $\delta > 0$, there exists $\varepsilon_\delta > 0$, such that for all $\varepsilon < \varepsilon_\delta$,

$$\begin{aligned} \text{vol}_{N+1}(U_\varepsilon^{N+1}) &\geq 2(\mathcal{M}_*^s(U, \mathbb{R}^N) - \delta) \int_0^\varepsilon \sqrt{\varepsilon^2 - y^2}^{N-s} dy, \\ &\geq (\mathcal{M}_*^s(U, \mathbb{R}^N) - \delta) \varepsilon^{N+1-s} \frac{\gamma_{N+1-s}}{\gamma_{N-s}}. \end{aligned} \quad (12)$$

Here, the last inequality is obtained using Proposition 7.

Reformulating (12), for each $\delta > 0$, there exists ε_δ , such that for all $\varepsilon < \varepsilon_\delta$,

$$\frac{\text{vol}_{N+1}(U_\varepsilon^{N+1})}{\varepsilon^{N+1-s}} \geq \mathcal{M}_*^s(U, \mathbb{R}^N) \cdot \frac{\gamma_{N+1-s}}{\gamma_{N-s}} - \delta. \quad (13)$$

Since $\mathcal{M}_*^s(U, \mathbb{R}^{N+1}) = \liminf_{\varepsilon \rightarrow 0} \frac{\text{vol}_{N+1}(U_\varepsilon^{N+1})}{\varepsilon^{N+1-s}}$, using (13), we conclude that

$$\mathcal{M}_*^s(U, \mathbb{R}^{N+1}) \geq \mathcal{M}_*^s(U, \mathbb{R}^N) \frac{\gamma_{N+1-s}}{\gamma_{N-s}}. \quad (14)$$

Note that from (13) it is not possible to conclude equality in (14).

In the case when $\mathcal{M}_*^s(U, \mathbb{R}^N) = \infty$, the proof is similar. The case when $\mathcal{M}_*^s(U, \mathbb{R}^N) = 0$ is obvious.

The last inequality in (7),

$$\mathcal{M}^{*s}(U, \mathbb{R}^{N+1}) \leq \mathcal{M}^{*s}(U, \mathbb{R}^N) \cdot \frac{\gamma_{N+1-s}}{\gamma_{N-s}},$$

can be proven analogously, using \limsup instead of \liminf . \square

From Theorem 5 and Remark 1, we immediately derive the following interesting consequence.

Corollary 8. *Let $s \in [0, N]$. For a given s , let \mathcal{U}_*^s and \mathcal{U}^{*s} denote the following families of sets:*

$$\begin{aligned}\mathcal{U}_*^s &= \{U \subset \mathbb{R}^N : \mathcal{M}_*^s(U, \mathbb{R}^N) \in (0, \infty)\}, \\ \mathcal{U}^{*s} &= \{U \subset \mathbb{R}^N : \mathcal{M}^{*s}(U, \mathbb{R}^N) \in (0, \infty)\},\end{aligned}$$

which are nonempty by Remark 1. It holds that

$$\min_{U \in \mathcal{U}_*^s} \frac{\mathcal{M}_*^s(U, \mathbb{R}^{N+1})}{\mathcal{M}_*^s(U, \mathbb{R}^N)} = \max_{U \in \mathcal{U}^{*s}} \frac{\mathcal{M}^{*s}(U, \mathbb{R}^{N+1})}{\mathcal{M}^{*s}(U, \mathbb{R}^N)} = \frac{\gamma_{N+1-s}}{\gamma_{N-s}}.$$

Equivalently, for normalized Minkowski contents defined in (4), it holds that

$$\min_{U \in \mathcal{U}_*^s} \frac{\overline{\mathcal{M}}_*^s(U, \mathbb{R}^{N+1})}{\overline{\mathcal{M}}_*^s(U, \mathbb{R}^N)} = \max_{U \in \mathcal{U}^{*s}} \frac{\overline{\mathcal{M}}^{*s}(U, \mathbb{R}^{N+1})}{\overline{\mathcal{M}}^{*s}(U, \mathbb{R}^N)} = 1.$$

Moreover, the minimum and the maximum are achieved for all Minkowski measurable sets of box dimension s .

To illustrate the results of Theorem 4, let us compute the Minkowski contents of some basic and some fractal sets, when regarded in the ambient spaces of different dimensions.

Example 2.

1. Let $U = \{x\} \subset \mathbb{R}$. Directly using definitions, we compute:

$$\dim_B U = 0, \mathcal{M}(U, \mathbb{R}) = 2, \mathcal{M}(U, \mathbb{R}^2) = \pi.$$

On the other hand, by (3), $\gamma_2/\gamma_1 = \pi/2$, so the formula (5) holds.

2. Let $U = [a, b]$ be a segment of length $l = b - a$ in \mathbb{R} . Then

$$\dim_B U = 1, \mathcal{M}(U, \mathbb{R}) = l, \mathcal{M}(U, \mathbb{R}^2) = 2l.$$

On the other hand, by (3), $\gamma_1/\gamma_0 = 2/1 = 2$, and the formula (5) holds.

3. Let $f(x) = x - x^k$, $k \in \mathbb{N}$, $k > 1$. Let

$$S^f(x_0) = \{x_n \mid x_{n+1} = f(x_n), n \in \mathbb{N}_0\}$$

denote the discrete set generated by $f(x)$, with initial point $x_0 > 0$ close to the origin. Such sets were considered in [16]. The set consists of countably many points x_n , accumulating at the origin as $n \rightarrow \infty$. Using the difference equation $x_{n+1} - x_n = -x_n^k$, we get the following asymptotics:

$$x_n \sim (k-1)^{-\frac{1}{k-1}} n^{-\frac{1}{k-1}}, \quad n \rightarrow \infty.$$

In literature, see [18], such sets are examples of *fractal a -strings*. By Theorem 2.2 in [8] or Remarks 8.18, 8.19 in [18], it holds that

$$\dim_B(S^f(x_0)) = 1 - \frac{1}{k}, \quad \mathcal{M}(S^f(x_0), \mathbb{R}) = \frac{k}{k-1} \cdot 2^{1/k}. \quad (15)$$

On the other hand, in \mathbb{R}^2 (equivalently, in \mathbb{C}), we can consider $S^f(x_0)$ as the orbit of complex parabolic diffeomorphism $f(z) = z - z^k$, with initial point $x_0 \in \mathbb{R}_+$. By Theorem 3 in [19], we have:

$$\mathcal{M}(S^f(x_0), \mathbb{R}^2) = \frac{k}{k-1} \sqrt{\pi} \cdot \frac{\Gamma(1 + \frac{1}{2k})}{\Gamma(\frac{3}{2} + \frac{1}{2k})} \cdot 2^{1/k}.$$

The same result for Minkowski content in \mathbb{R}^2 may have been obtained directly using (15) and Theorem 4,

$$\begin{aligned} \mathcal{M}(S^f(x_0), \mathbb{R}^2) &= \mathcal{M}(S^f(x_0), \mathbb{R}) \cdot \frac{\gamma_{1+1/k}}{\gamma_{1/k}} \\ &= \mathcal{M}(S^f(x_0), \mathbb{R}) \cdot \sqrt{\pi} \cdot \frac{\Gamma(1 + \frac{1}{2k})}{\Gamma(\frac{3}{2} + \frac{1}{2k})}. \end{aligned}$$

4. We consider a spiral $S_\alpha \subset \mathbb{R}^2$, in polar coordinates given by

$$r = m\varphi^{-\alpha}, \quad m > 0, \quad \alpha \in (0, 1).$$

By Corollary 2 in [4], we have

$$\dim_B(S_\alpha) = \frac{2}{1+\alpha}, \quad \mathcal{M}(S_\alpha, \mathbb{R}^2) = m^\alpha \alpha^{-\frac{2\alpha}{\alpha+1}} \pi^{\frac{1-\alpha}{1+\alpha}} \frac{1+\alpha}{1-\alpha}.$$

If we consider $S_\alpha \hookrightarrow \mathbb{R}^3$, by Theorem 4, we compute

$$\mathcal{M}(S_\alpha, \mathbb{R}^3) = m^\alpha \alpha^{-\frac{2\alpha}{\alpha+1}} \pi^{\frac{1-\alpha}{1+\alpha}} \frac{1+\alpha}{1-\alpha} \cdot \sqrt{\pi} \frac{\Gamma(2 - \frac{1}{1+\alpha})}{\Gamma(\frac{5}{2} - \frac{1}{1+\alpha})}.$$

Acknowledgments. *I would like to thank Darko Žubrinić, who proposed the question of invariance of the Minkowski content with respect to the ambient space, and to Vesna Županović, for her useful suggestions.*

References

- [1] V. Županović, D. Žubrinić, Fractal dimensions in dynamics, *Encyclopedia of Mathematical Physics*, Vol 2(2006), Oxford : Elsevier, 394-402.
- [2] D. Žubrinić, V. Županović, Box dimension of spiral trajectories of some vector fields in \mathbb{R}^3 , *Qualitative Theory of Dynamical Systems*, 1 (2006), 1–22.
- [3] P. Mardešić, M. Resman, V. Županović, Multiplicity of fixed points and growth of ε -neighborhoods of orbits, *J. Diff. Eq.*, Vol. 253, 8 (2012), 2493-2514.
- [4] D. Žubrinić, V. Županović, Fractal analysis of spiral trajectories of some planar vector fields, *Bulletin des Sciences Mathématiques*, **129**(6) (2005), 457-485.
- [5] M. L. Lapidus, G. Radunović, D. Žubrinić, A zeta function associated with fractal sets in Euclidean spaces, in preparation.
- [6] Stachó L. L., On the volume function of parallel sets, *Acta Sci. Math.* **38** (1976), 365–374.
- [7] K. Falconer, On the Minkowski measurability of fractals, *Proc. Am. Math. Soc.* **123**(4) (1995), 1115-1124.
- [8] M. L. Lapidus and C. Pomerance, The Riemann zeta-function and the one-dimensional Weyl–Berry conjecture for fractal drums, *Proc. London Math. Soc.* **66**(3) (1993), 41-69.

- [9] F. Mendiivil and J. C. Saunders, On Minkowski measurability, *Fractals*, **19**(4) (2011), 455-467.
- [10] K. Falconer, *Fractal geometry: mathematical foundations and applications* (1990), John Wiley and sons Ltd., Chichester.
- [11] C. Tricot, *Curves and fractal dimension* (1993), Springer-Verlag, Paris.
- [12] J. Palis, F. Takens, *Hyperbolicity and sensitive chaotic dynamics at homoclinic bifurcations* (1993), Cambridge University Press.
- [13] S. G. Krantz, H. R. Parks, *The Geometry of Domains in Space* (1999), Birkhäuser, Boston.
- [14] P. Mattila, *Geometry of Sets and Measures in Euclidean Spaces* (1995), Cambridge University Press.
- [15] H. Federer, *Geometric Measure Theory* (1969), Springer-Verlag, New York.
- [16] N. Elezović, D. Žubrinić, V. Županović, Box dimension of trajectories of some discrete dynamical systems, *Chaos Solitons Fractals*, **34**(2) (2007), 244-252.
- [17] G. Boros, V. Moll, *Irresistible Integrals, Symbolics, Analysis and Experiments in the Evaluation of Integrals* (2004), Cambridge University Press.
- [18] M. Van Frankenhuysen, M. L. Lapidus, *Fractal Geometry, Complex Dimensions and Zeta Functions: Geometry and Spectra of Fractal Strings*, Springer Monographs in Mathematics, Springer, New York (2006).
- [19] M. Resman, *Epsilon-neighborhoods of orbits and formal classification of parabolic diffeomorphisms*, Discrete Contin. Dyn. Syst. **33**, 8 (2013), 3767-3790.